

## Honeywell

1944 East Sky Harbor Circle  
Law Department 67-15/2102-406  
Phoenix, Arizona 85034

TO: Office of Initial Patent Examination's Filing Receipt Corrections FAX NO.: (703) 746-9195

COMPANY: USPTO

RE: H0002284-3104

TOTAL PAGES: 7  
(including cover sheet)

DATE: July 9, 2004

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FROM: Doug Mullen, Esq.  
Law Department, 67-15/2102-406  
Telephone: (602) 365-3684  
FAX: (602) 365-2490

REMARKS:

Serial No.: 10/785,491

Filed: 02/23/2004

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PTO/SB/21 (6-98)

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|                                                                                            |                      |                             |                 |
|--------------------------------------------------------------------------------------------|----------------------|-----------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/785,491                  |                 |
|                                                                                            | Filing Date          | 02/23/2004                  |                 |
|                                                                                            | First Named Inventor | Gerald E. Tornquist, et al. |                 |
|                                                                                            | Group Art Unit       | 2834                        |                 |
|                                                                                            | Examiner Name        | Not Yet Assigned            |                 |
| Total Number of Pages in This Submission                                                   | 6                    | Attorney Docket Number      | H0002284D1-3104 |

| ENCLOSURES (check all that apply)                                            |                                                                                         |                                                                                                                                                            |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                                                                                            |
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| <input type="checkbox"/> After Final                                         | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information                                                                                                           |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter                                                                                                                     |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below):                                                                                  |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                                            |                                                                                                                                                            |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement                                         |                                                                                                                                                            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Request for Refund                                             |                                                                                                                                                            |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks                                                                                 | Enclosed are:                                                                                                                                              |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |                                                                                         | - Request for Correction of Official Filing Receipt<br>- Copy of Official Filing Receipt (2 pages)<br>- Corrected Copy of Official Filing Receipt (2 page) |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                     |
|--------------------------------------------|-------------------------------------|
| Firm or Individual name                    | Douglas A. Mullen, Reg. No.: 38,569 |
| Signature                                  | <i>Douglas A. Mullen</i>            |
| Date                                       | July 9, 2004                        |

| CERTIFICATE OF MAILING                                                                                                                                                               |                          |
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| I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office via fax to fax number 703-746-9195 on this date: <u>July 9, 2004</u> |                          |
| Typed or printed name                                                                                                                                                                | Douglas A. Mullen        |
| Signature                                                                                                                                                                            | <i>Douglas A. Mullen</i> |
| Date                                                                                                                                                                                 | July 9, 2004             |

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Date: July 9, 2004Douglas A. Mullen  
Douglas A. Mullen, Reg. No. 38,569

PATENT

Attorney Docket: H0002284D1-3104

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                                                                   |                             |
|-----------------------------------------------------------------------------------|-----------------------------|
| In re application of: Gerald E. Tornquist, et al.                                 | Art Unit: 2834              |
| Serial No.: 10/785,491                                                            |                             |
| Filing Date: 02/23/2004                                                           | Examiner: Not yet Assigned. |
| For: IMPROVED ROTOR END CAPS<br>AND A METHOD OF COOLING<br>A HIGH SPEED GENERATOR |                             |

**REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT**Via Fax – 703-746-9195

ASSISTANT COMMISSIONER FOR PATENTS  
Office of Initial Patent Examination's Filing Receipt Corrections  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above patent application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which was incorrectly reported.

The filing receipt shows the following:

Atty. Docket No. as H0002284-1070. The Atty. Docket No. should be "H0002284D1-3104."  
Please change the Atty. Docket No. to read: H0002284D1-3104.

Under the heading, Applicant(s) the inventor Kieran P.J. Doherty is incorrect. The inventor name should be "Kieran P.J. Doherty." Please change the inventor name to read: Kieran P.J. Doherty.

Applicants respectfully request that a corrected filing receipt be issued with the corrected data as per the enclosed copy of the filing receipt.

Respectfully submitted,

Date: July 9, 2004Douglas A. Mullen  
Douglas A. Mullen  
Attorney for Applicant(s)  
Reg. No. 38,569



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| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/785,491 | 02/23/2004                | 2834     | 770           | H0002284-1070   | 6        | 2        | 2        |

 00128  
 HONEYWELL INTERNATIONAL INC.  
 101 COLUMBIA ROAD  
 P O BOX 2245  
 MORRISTOWN, NJ 07962-2245

LAW-PHX-JH

MAY 25 2004

CONFIRMATION NO. 9923

## FILING RECEIPT



\*OC000000012678668\*

Date Mailed: 05/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Gerald Eugene Tornquist, Tucson, AZ;  
 Raymond Walter Borden, Farmingdale, NJ;  
 James D. Lengel, Oro Valley, AZ;  
 Gregor L. McDowall, Tucson, AZ;  
 Kieran P.J. Doherty, Oro Valley, AZ;

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 CENTRAL RECORDS - 1110

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/991,501 11/16/2001 PAT 6,734,585

## Foreign Applications

If Required, Foreign Filing License Granted: 05/14/2004

Projected Publication Date: 08/26/2004

Non-Publication Request: No

Early Publication Request: No

Title

Rotor end caps and a method of cooling a high speed generator

Preliminary Class

310

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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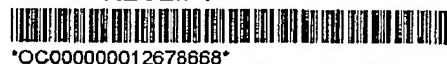
CONFIRMATION NO. 9923

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Doherty

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